



LCC ASIA PACIFIC
CORPORATE FINANCE & STRATEGIC ADVISORY

Environmental, Social and Governance Policy (ESG)

Integrating ESG into our operational practices has become a critical part of LCC's business mission

LCC Asia Pacific is committed to supporting companies that themselves are committed to the delivery of best practice in relation to Environmental Social Governance. **We have only one earth - and it is every company and individual's responsibility to conduct themselves to protect it.**

Our business model has also evolved since our commencement of business in 2004 to meet a more responsible form of business practice. We are committed to only serving clients that (*as examples*):

- Actively respect and acknowledge the rights of First Nations peoples as Traditional Owners.
- Maintain programmes to minimise their environmental footprint, including CO2 emissions and other areas of contamination - including land, air and water.
- Ensure as best as possible that their supply chain partners are also observant and respectful of ESG protocols and responsibilities.
- Are not exposed to coal mining activities or other mining activities where slave / forced labour is used (*as one example*). This has been a particularly difficult area to navigate since 2020, and our prediction back then was that the investor and financier pool for companies with a coal focus would diminish. Time has proven that expectation correct.
- Demonstrate that leadership teams are aware of their responsibilities in relation to ESG and maintain active reporting & discussion.
- Are not using toxic chemicals in mining or industrial settings without best in class safeguards in place.
- Are seeking to minimise environmental pollution through programmes including active recycling, development of circular economy initiatives and repurposing / reuse of materials and components that might be suited for 2nd life application.
- Are respectful and protective of their employees - including maintaining best practices in relation to OHS monitoring and injury reporting.
- Take detailed reporting of ESG responsibilities seriously.
- Understand & maintain high standards in corporate governance and business leadership.

Stewardship

We actively engage with clients, professional service firms, investors and other interest groups on ESG matters and the commercial and social requirements of maintaining best practices.

We appreciate that the ESG changes we have seen that impact capital availability, deal appetite and strategic focus are often not easy to understand or appreciate for certain organisations that have deep, and often highly successful, "traditional" industry roots. With these companies we try to educate on the current and future operating business landscapes, and to assist in any ESG transition that is sought to be made.

For those few companies that continue to resist change, and are determined to remain anchored in the past, we are unable to assist nor engage.

From 1 July 2022 any professional services engagement that LCC Asia Pacific considers is required to satisfy on the Client Company not presenting material risks in relation to ESG non compliance or conduct.

United Nations Global Compact

The ten principles of the **United Nations Global Compact**

Human Rights

1. Businesses should support and respect the protection of internationally proclaimed **human rights**

Labour

2. Businesses should ensure they are not complicit in human rights abuses.
3. Businesses should uphold the freedom of association and the effective recognition of the right to collective bargaining
4. Businesses should champion the elimination of all forms of forced and compulsory **labour**
5. Businesses should uphold the effective abolition of child labour
6. Businesses should uphold the elimination of discrimination in respect of employment and occupation

Environment

7. Businesses should support a precautionary approach to **environmental** challenges
8. Businesses should undertake initiatives to promote greater environmental responsibility
9. Businesses should encourage the development and diffusion of environmentally friendly technologies

Anti-Corruption

10. Businesses should work against **corruption** in all its forms, including extortion and bribery

LCC Asia Pacific has been a signatory to the **United Nations Global Compact** since **2009**.

The guiding principles of the UNGC have stood the test of time and remain as relevant today as they were in 2009 when we first became a signatory.



**United Nations
Global Compact**

Global Partnership On Marine Litter

LCC Asia Pacific is specifically focused on supporting initiatives that preserve & promote clean oceans and waterways. The health of the planet depends on the preservation of our waterways, dams, rivers and oceans.

For this reason we are also a signatory and member of the **Global Partnership On Marine Litter**



LCC Asia Pacific is an award winning boutique investment banking & strategic advisory firm that was established in Sydney in 2004.

Over our journey we have worked with all manner of companies from Fortune 100 to startup in assisting each develop and execute their own bespoke strategy.

In 2019 LCC Asia Pacific was named "Boutique Investment Banking Firm Of The Year" at the M & A Advisor's 11th Annual International M & A Awards in New York.

We are not a "generalist" but have a total focus on ESG compliant Clients that are in the pursuit of "Innovation" in the Engineering, Services, Aerospace, Defence & Industrial Technology Sectors.

For any further information on our commitment to environmental social governance please contact us on Sydney main switch : + 61 2 9262 2121.

LCC Asia Pacific's Sydney office is located on the lands of the Gadigal people and we would both like to acknowledge them as Traditional Owners and pay respects to Elders past, present & emerging.

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